

# Homework 3

## Continuum Mechanics

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**Problem 1.** One of the hallmarks of the linear regime is the superposition principle. In this problem, we are going to use superposition to find the relation between the bulk modulus  $K$ , Young's modulus  $Y$  and Poisson's ratio  $\nu$ .

Consider a rectangular block subjected to uniform compressive stresses  $-P$  on each face. The equilibrium sides of the block along  $x$ ,  $y$  and  $z$  are  $l$ ,  $h$  and  $w$ , respectively, and the corresponding length changes are  $\Delta l$ ,  $\Delta h$  and  $\Delta w$ .

- a) Find the strain along  $x$  using Hooke's law as we learnt it in introductory courses (that is,  $\sigma_{xx} = Y u_{xx}$  and  $u_{yy} = u_{zz} = -\nu u_{xx}$ ). You will be using superposition since the strain along  $x$  is the sum of three contributions, one coming from the compressive stress along  $x$  and two additional contributions coming from the compressive stresses along  $y$  and  $z$ .

Confirm that by using the inverted version of Hooke's law for isotropic materials we obtain the same answer.

- b) The strains along  $y$  and  $z$  are identical to that along  $x$ . Find the bulk modulus of the material as a function of  $Y$  and  $\nu$ . Realize our result is identical to that obtained in class in an alternative way.

### Solution for a):

As the only contact force is pressure, the only components of the stress tensor will be the ones in the diagonal, which will be equal to  $-P$ .

If we only consider the compressive stress along  $x$ , we get:

$$u_{xx}^1 = \frac{1}{Y} \sigma_{xx} = -\frac{1}{Y} P.$$

However, we also have to consider the strain caused by the stresses in the  $y$  and  $z$  directions:

$$\begin{cases} u_{xx}^2 = -\nu u_{yy}^2 = -\frac{\nu}{Y} \sigma_{yy} = \frac{\nu}{Y} P, \\ u_{xx}^3 = -\nu u_{zz}^3 = -\frac{\nu}{Y} \sigma_{zz} = \frac{\nu}{Y} P. \end{cases}$$

So, by the superposition principle:

$$u_{xx} = u_{xx}^1 + u_{xx}^2 + u_{xx}^3 = \frac{P}{Y} (2\nu - 1).$$

We can also calculate the strain along  $x$  with the inverted version of Hooke's law for isotropic materials:

$$u_{ij} = \frac{1+\nu}{Y} \sigma_{ij} - \frac{\nu}{Y} \text{Tr } \bar{\bar{\sigma}} \delta_{ij}.$$

By using it, we obtain:

$$\begin{aligned} u_{xx} &= \frac{1}{Y} ((1+\nu)\sigma_{xx} - \nu \text{Tr } \bar{\bar{\sigma}} \delta_{xx}) = \frac{1}{Y} (-(1+\nu)P + 3\nu P) = \\ &= \frac{P}{Y} (-1 - \nu + 3\nu) = \frac{P}{Y} (2\nu - 1) \end{aligned}$$

which is precisely what we got before by using the superposition principle and Hooke's law we learned in introductory courses.

**Solution for b):**

From the previous result, we obtain:

$$\begin{aligned} \text{Tr } \bar{\bar{u}} = 3P \frac{2\nu - 1}{Y} &\implies P \frac{V}{\Delta V} \approx \frac{1}{3} \frac{Y}{2\nu - 1}. \\ &\quad \downarrow \text{Tr } \bar{\bar{u}} \approx \frac{\Delta V}{V} \end{aligned}$$

And by the definition of the bulk modulus, we conclude that

$$K = -V \left( \frac{\partial P}{\partial V} \right)_T \approx -V \frac{\Delta P}{\Delta V} \approx \frac{Y}{3(1 - 2\nu)}$$

which is the same result we derived in class.

**Problem 2.** Consider the class example we referred to as “constrained settling”. We are going to tackle the problem here in an alternative way to how we did it in class.

- Start by solving the Navier-Cauchy equation to obtain the displacement field.
- Then obtain the strain and stress tensors.
- Why do you think Lautrup refers to this example as an “elastic sea”?

**Solution:**

The Navier-Cauchy equation is:

$$\vec{f} + \mu \nabla^2 \vec{u} + (\lambda + \mu) \nabla (\nabla \cdot \vec{u}) = 0.$$

Since the walls are slippery, they allow vertical but not horizontal displacements. This indicates us that the displacement field will be of the form  $\vec{u} = (0, 0, u_z(z))$ . By imposing the Navier-Cauchy equation to this expression, we get the following:

$$\begin{aligned} 0 &= \begin{pmatrix} 0 \\ 0 \\ -\rho g \end{pmatrix} + \mu \begin{pmatrix} 0 \\ 0 \\ u_z''(z) \end{pmatrix} + (\lambda + \mu) \begin{pmatrix} 0 \\ 0 \\ u_z''(z) \end{pmatrix} \implies \\ \implies \rho g &= (\lambda + 2\mu) u_z''(z) \implies u_z''(z) = \frac{\rho g}{\lambda + 2\mu} =: \frac{1}{D} \implies \\ \implies u_z'(z) &= \frac{1}{D} z + C \implies u_z(z) = \frac{1}{2D} z^2 + Cz + B \end{aligned}$$

where  $C, B$  are constants that are determined by the boundary conditions.

In our case, our boundary conditions are the following ones:

$$\begin{cases} u_z(0) = 0 \implies B = 0 \\ [\bar{\bar{\sigma}} \cdot \hat{n}] = 0. \end{cases}$$

Let's calculate the strain tensor:

$$\bar{\bar{u}} = \frac{1}{2} (\nabla \vec{u} + (\nabla \vec{u})^T)$$

where

$$\nabla \vec{u} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & \frac{z}{D} + C \end{pmatrix}.$$

Therefore:

$$\bar{\bar{u}} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & \frac{z}{D} + C \end{pmatrix}.$$

We can then calculate the stress vector using Hooke's law:

$$\sigma_{ij} = 2\mu u_{ij} + \lambda \text{Tr } \bar{\bar{u}} \delta_{ij} \implies$$

$$\implies \bar{\bar{\sigma}} = \left( \frac{z}{D} + C \right) \begin{pmatrix} \lambda & 0 & 0 \\ 0 & \lambda & 0 \\ 0 & 0 & \lambda + 2\mu \end{pmatrix}$$

Now we can impose the boundary condition  $[\bar{\bar{\sigma}} \cdot \hat{n}] = 0$ . Since the interface is a free surface (we neglect atmospheric pressure), we have that  $\bar{\bar{\sigma}}_2 = 0$ , which means that:

$$\bar{\bar{\sigma}}_1 \hat{n} = 0 \implies \sigma_{iz}(h) = 0 \quad \forall i.$$

In particular,  $\sigma_{zz}(h) = 0$ , and this means

$$C = -\frac{h}{D}.$$

In conclusion, substituting  $C$  and  $D$  into the expressions we found:

$$\left\{ \begin{array}{l} \vec{u} = \begin{pmatrix} 0 \\ 0 \\ \frac{1}{D} \left( \frac{1}{2} z^2 - hz \right) \end{pmatrix} \\ \bar{\bar{u}} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & \frac{1}{D}(z-h) \end{pmatrix} \\ \bar{\bar{\sigma}} = \frac{\lambda}{D}(z-h) \begin{pmatrix} \lambda & 0 & 0 \\ 0 & \lambda & 0 \\ 0 & 0 & \lambda + 2\mu \end{pmatrix} \end{array} \right.$$

Lautrup refers to this example as an elastic sea because  $p_z = -\sigma_{zz} = \rho g(h - z)$ , and  $p_z$  increases linearly with depth like a fluid at rest.

**Problem 3.** Consider the situation studied in problem 2: a homogeneous and isotropic elastic solid with density  $\rho$  inside a container with rigid walls. However, in this case, the container is cylindrical and not a prism, and there is friction between the elastic solid and the walls. Hence,  $\sigma_{zr}(r = R) = \mu_s \sigma_{zz}(r = R)$ , where  $R$  is the radius of the circular cross-section and  $\mu_s$  is the friction coefficient. There is still gravity; the corresponding specific force is  $\vec{g} = g\hat{z}$ . Note the  $z$ -axis runs along the cylinder and points downwards.

- Discuss how friction affects both the strain and stress tensors. What new components arise in these tensors relative to the case without friction?
- Now consider a thin slice of elastic material inside the cylindrical container; this slice will have cross-sectional area  $\pi R^2$  and height  $\Delta z$ .

Apply the condition of mechanical equilibrium to this slice, assuming that  $\sigma_{zz}$  is uniform across the circular cross-section and that  $\sigma_{rr} = \sigma_{\theta\theta} = k\sigma_{zz}$ , with  $k$  a constant, and show that

$$\frac{d\sigma_{zz}}{dz} = -\rho g - \frac{2\mu_s k}{R} \sigma_{zz}.$$

- Integrate this equation and show that

$$p_z = \rho g \lambda \left(1 - e^{-\frac{z}{\lambda}}\right)$$

where  $\lambda = \frac{R}{2\mu_s k}$ . Note we have assumed that at the top of the solid  $\sigma_{zz} = 0$ .

- Consider the limiting cases  $z \ll \lambda$  and  $z \gg \lambda$  and discuss the physics in each case. Doing this illustrates the physical significance of  $\lambda$  and what friction brings to the problem.

**Solution for a):**

Due to friction,  $\vec{u} = (0, 0, u_z(r, z))$ , so in addition to the dependence on  $z$ , there's now an  $r$ -dependence too.

$$\vec{\nabla} \vec{u} = \begin{pmatrix} 0 & 0 & \partial_r u_z \\ 0 & 0 & 0 \\ 0 & 0 & \partial_z u_z \end{pmatrix} \implies \bar{\bar{u}} = \frac{1}{2}(\vec{\nabla} \vec{u} + (\vec{\nabla} \vec{u})^T) = \begin{pmatrix} 0 & 0 & \frac{1}{2} \partial_r u_z \\ 0 & 0 & 0 \\ \frac{1}{2} \partial_r u_z & 0 & \partial_z u_z \end{pmatrix}.$$

We can now use Hooke's law:

$$\begin{aligned} \bar{\bar{\sigma}} &= 2\mu \bar{\bar{u}} + \lambda (\text{Tr } \bar{\bar{u}}) \bar{\bar{I}} \implies \\ \bar{\bar{\sigma}} &= \begin{pmatrix} \lambda \partial_z u_z & 0 & \mu \partial_r u_z \\ 0 & \lambda \partial_z u_z & 0 \\ \mu \partial_r u_z & 0 & (2\mu + \lambda) \partial_z u_z \end{pmatrix}. \end{aligned}$$

**Solution for b):**

The local condition for mechanical equilibrium is

$$\vec{f} + \nabla \cdot \bar{\bar{\sigma}}^T = 0 \quad \forall \text{ material particles.}$$

If we integrate over volume  $V$ :

$$\int_V \vec{f} dv' + \int_V \vec{\nabla} \cdot \vec{\sigma}^T dv' = \int_V \vec{F} dv' + \oint_A \vec{\sigma} \cdot d\vec{S} = 0,$$

where  $V$  is a cylindrical slice of the material ( $|V| = \pi R^2 \Delta z$ ).

$$\begin{aligned} \int_V \vec{f} dv' &= \int_V \rho \vec{g} dv' = \hat{e}_z \rho g \pi R^2 \Delta z. \\ \oint_A \vec{\sigma} \cdot d\vec{S} &= \int_{A_1} \vec{\sigma} d\vec{S} + \int_{A_2} \vec{\sigma} d\vec{S} + \int_{A_\perp} \vec{\sigma} d\vec{S}, \end{aligned}$$

where  $A_1$ ,  $A_2$  are the circular surfaces at the top and at the bottom, and  $A_\perp$  is the lateral surface.

For the top and bottom surfaces, we have:

$$\int_{A_i} \vec{\sigma} \cdot d\vec{S} = \int_{A_i} (-1)^i \bar{\sigma}_{rz}(z_i) \hat{e}_r r d\theta dr + \int_{A_i} (-1)^i \sigma_{zz}(z_i) \hat{e}_z r d\theta dr,$$

and since  $\sigma_{zz} \neq f(r)$  because we've supposed that it is uniform across the entire section, we have:

$$\int_{A_i} \vec{\sigma} \cdot d\vec{S} = 0 + \int_{A_i} (-1)^i \sigma_{zz}(z_i) \hat{e}_z r d\theta dr = (-1)^i \hat{e}_z \sigma_{zz}(z_i) R^2 \pi$$

For the lateral surface, we have:

$$\begin{aligned} \int_{A_\perp} \vec{\sigma} \cdot d\vec{S} &= \int_{A_\perp} \sigma_{rr} R d\theta dz \hat{e}_r + \int_{A_\perp} \sigma_{zr}(R) R d\theta dz \hat{e}_z = \\ &= 0 + \mu_s K R \hat{e}_z \int_z^{z+\Delta z} \sigma_{zz} dz' \int_0^{2\pi} d\theta \approx 2\pi R \mu_s k \hat{e}_z \sigma_{zz} \Delta z. \end{aligned}$$

Putting it all together:

$$\begin{aligned} \hat{e}_z (\rho g \pi R^2 \Delta z - \sigma_{zz}(z) \pi R^2 + \sigma_{zz}(z) \pi R^2 + \Delta z \pi R^2 + 2\pi R \mu_s k \sigma_{zz} \Delta z) &= 0 \implies \\ \implies \pi R^2 \frac{\sigma_{zz}(z + \Delta z) - \sigma_{zz}(z)}{\Delta z} &= -\rho g \pi R^2 - 2\pi R \mu_s k \sigma_{zz}. \end{aligned}$$

Taking the limit for  $\Delta z \rightarrow 0$ :

$$\begin{aligned} \pi R^2 \frac{d\sigma_{zz}}{dz} &= -\rho g \pi R^2 - 2\pi R \mu_s k \sigma_{zz} \implies \\ \implies \frac{d\sigma_{zz}}{dz} &= -\rho g - \frac{2\mu_s k}{R} \sigma_{zz}. \end{aligned}$$

**Solution for c):**

We'll first solve the homogeneous ODE

$$f'(z) + \frac{1}{\lambda} f(z) = 0.$$

This is a linear ODE with known solution

$$f_h(z) = e^{-\frac{z}{\lambda}}.$$

We can then solve the full ODE with the constant variations method: let's suppose the solution to the full ODE is  $\sigma_{zz}(z) = f(z) = f_h(z)g(z)$ . Then:

$$\begin{aligned}
f'_h(z)g(z) + f_h(z)g'(z) &= f'(z) = -\rho g - \frac{1}{\lambda}f_h(z)g(z) \implies \\
\implies \cancel{-\frac{1}{\lambda}f_h(z)g(z)} + g'(z)f_h(z) &= -\rho g \cancel{-\frac{1}{\lambda}f_h(z)g(z)} \implies \\
\implies g'(z) &= -\rho g e^{\frac{z}{\lambda}} \implies g(z) = C - \rho g \lambda e^{\frac{z}{\lambda}} = C - \rho g \lambda \frac{1}{f_h(z)} \implies \\
\implies \sigma_{zz}(z) = f(z) &= f_h(z) \left( C - \rho g \lambda \frac{1}{f_h(z)} \right) = C f_h(z) - \rho g \lambda = C e^{-\frac{z}{h}} - \rho g \lambda.
\end{aligned}$$

If we impose  $\sigma_{zz}(0) = 0$ :

$$0 = \sigma_{zz}(0) = C - \rho g \lambda \implies C = \rho g \lambda,$$

and thus:

$$\sigma_{zz}(z) = \rho g \lambda \left( e^{-\frac{z}{h}} - 1 \right).$$

Since  $p_z = -\sigma_{zz}$ , we have shown that the statement is true.

**Solution for d):**

$\lambda = \frac{R}{2\mu_S k}$  is a characteristic length scale ( $\mu_S$ ,  $k$  are dimensionless). Using  $\mu_s \approx 0.5$ ,  $k \approx 1$ , we find  $\lambda \approx R$ .

To discuss the case in which  $z \ll \lambda$ , we can approximate  $p_z$  via a truncated Taylor series:

$$p_z(z) = \rho g \lambda \left( \frac{z}{\lambda} + \mathcal{O}\left(\frac{z}{\lambda}\right)^2 \right) \approx \rho g z,$$

which is the behavior in the absence of friction.

If instead  $z \gg \lambda$ , this means  $\frac{z}{\lambda} \gg 1$  and therefore  $e^{-\frac{z}{h}} \ll 1$ . Thus:

$$e^{-\frac{z}{h}} \approx 0 \implies p_z(z) \approx \rho g \lambda,$$

which is a constant value.

Therefore, we can conclude the pressure saturates for sufficiently large  $z$ . Friction supports the weight of the elastic material for sufficiently light columns.